UOB KAY HIAN (M) SDN BHD

(Formerly known as UOB Kay Hian Securities (M) Sdn. Bhd.)

(Registration No. 199001003423 (194990-K))

A Participating Organisation of Bursa Malaysia Securities Berhad

A Trading Participant of Bursa Malaysia Derivatives Berhad

A Registered IUTA and IPRA of Federation of Investment Managers Malaysia

Website : www.utrade.com.my



APPLICATION FORM FOR INSTITUTION / CORPORATION / ASSOCIATION APPLICANT

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	The Controlling Person ² of the Applicant a Person is defined as natural person who has a		Yes No ((Refer to question no.9(i))	
company.		Ü			
If Yes (plea	ase complete the following) The Controlling U.S. Person is "Specified U.S.	Person "			
, <u></u>	The Applicant is a Passive Non-Financial Fore		IFFE is broadly defined as the	ose legal entitles set up to hold ass	sets that produce investment
В.	income.		•		
C	The Applicant is an Active NFFE. (Refer to P			NEED 1 1 0 10 1	11.0 5
	nd B are ticked, please provide the followin ach a separate list if there is insufficient spa	•	g Persons of this Passive I	NFFE who are also Specified	U.S. Persons:
(1 lease atta	Name of Controlling Person	Addres	S	U.S. Taxpayer Ident	ification Number
	Traine of Controlling Feldon	Tradition		C.S. Tanpayer Ident	Transcor Transcor
	g Person means the natural person who exercise of xpayer Identification Number and return a complo				
9 (i). Please t	tick one of following:				
A	The Applicant is a Passive Non-Financia produce investment income.	al Entity (Passive NFE). Passive	NFE is broadly defined a	is those legal entitles set up to	hold assets that
В.	The Applicant is an Active NFE – a correlated entity of such a corporation.	•	•		corporation which is a
	(Please provide the name of established (If you are a Related Entity of regularly				Entity in (B) is a Related
C	Entity of:) The Applicant is an Active NFE – a Gov	vernment Entity or Central Bank			
D	The Applicant is an Active NFE -an Inte	ernational Organisation			
Е.	The Applicant is an Active NFE - other	than (B)-(D)			
9(ii). If App	plicant has ticked 7(G) or 9(i)(A), then please	se complete the following:			
(a) Indi	cate the name of any Controlling Person(s)	of the Account Holder:			
(i)		(ii)			
(iii)	C . W D least consider I	(iv)			
	each Controlling Person, please complete In		n.		
2 TYPE (OF ACCOUNT (please tick 🗹 accordingl	y)			
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7 (OTHER PARTICULARS OF APPLICANTS			
a.	Does the Applicant have Domestic Ringgit Borrowing as defined under BNM	FEA rules?	Yes No	
b.	Are the Applicant's Directors and/or authorised person related to any staff or Representatives of UOB Kay Hian (M) Sdn Bhd ("UOBKH")?	Director or Dealer's		ionship
	Does the Applicant have Domestic Ringgit Borrowing as defined under BNM FEA rules? For further details, etfor https://www.hom.gov.my/ipf.jr to DMM definition under BNMFEA rules)			
c.	Have the Applicant and/or authorised person ever opened an account with UO	ВКН?	Yes	
			-	umber and reason for closing of
d.	Does the Applicant have existing Securities and/or Derivatives Trading Accounts	int with UOBKH?		
			No	
e.	Details of the Applicant's Directors and/or authorised persons with Trading with UOBKH	Accounts maintained	ii) Relationship:	None
f.	Would the Applicant consent and authorise UOBKH to process any infor	mation that has been		Io
	provided to UOBKH for the purposes of cross selling, marketing and promotion	ons?		
g. '			Yes	бо
8 I	DECLARATIONS AND ACKNOWLEDGEMENT			
duly entire shall remo	explained to us in detail by the Company. Subject and conditional upon UOBKH ely. We are further aware that UOBKH has the sole and absolute discretion to va	approving our Trading ry, add or remove any o	Account(s) application, w f the terms and condition	ye hereby agree to be bound by them s therein at any time hereafter and it
	<u> </u>			<u> </u>
Naı			Signature of Witness	
	IC No:			
Dat				
* If	the Applicant is a corporation, please sign as per Board Resolution and affix the company t	rubber stamp or common se	eal.	
9	TO BE COMPLETED BY FUTURES BROKER'S REPRESENTATIVES			
I)	KNOW YOUR CLIENT POLICY	2		
1.	Have you met the Applicant through your personal contact / social networking	<u>;'</u>	Yes	No
2.	If no, how have you been acquainted with the Applicant? Duration of time you have known the Applicant?		Vaces	
3.	Have you had any previous dealings with the Applicant?		Years	□ No
4.				∐ No
5.	Does the Applicant have good financial viability and trustworthiness? Is the Applicant actively involved in any political activities or politically linke	nd?	Yes Ves	∐ No □ No
		u:	Yes	No
Rer	marks :			

DECLARATION BY FUTURES BROKER'S REPRESENTATIVE Having assessed the Applicant's financial position, experience in trading and investment objectives, I hereby request that the Applicant be allowed to open and operate the trading account with the Company and allow me to act as the Future Broker's Representatives for the said applicant in accordance with the terms and conditions stated herein. I hereby confirm that:

a) I have explained to the Applicant and the Applicant has understood the terms and conditions herein, the contents of the Authorisation and Undertaking for Multicurrency Settlement, Risk Disclosure Statement, and the risks and obligations associated with the trading in derivative including foreign derivative trading and the usage of the Company's electronic services (where applicable); and

	b) to the best of my knowledge and belief, all information given by the Applica	ant is tru	e and correct and he has not withheld any material facts.
3.	I shall keep you fully indemnified against any losses, damages, debts, interest/late	paymer	nt charges and all other costs and expenses incurred and suffered by you
	in relation to the trading account of this Applicant.		
			Name:
		~/	Code:
		Æ)	Date:
	(Signature of Future Broker's Representatives)		

FOR OFFICE USE ONLY						
AML/ Credit Screening						
AML - Experian		AML- World Ch	eck One	Client Code :		
Credit- Experian			FBR Code :			
Checked By :	Ι	Date :		Online Trading Facility		
				Client Code :		
Processed By :	Ι	Date :		User ID :		
Verified By :	Т	Date :		FBR Code :	Branch Code	:
, cance by				Processed By :	Date	:
Risk Profiling	Low	Medium	High			
Credit Risk Profile				Remarks:		
AML Risk Profile						
Approved Lot Limit (Local	Market) :					
Approved Lot Limit (Foreig	gn Market) :					
Account Approved By	:					
Date	:					